

Calls for proposals for indirect RTD actions under the specific programme for research, technological development and demonstration: “Integrating and strengthening the European Research Area”

Activity: Thematic priority area: Information Society Technologies

Call title: Call 1 of the IST priority

Call identifier: FP6-2002-IST-1

Date of publication: 17.12.2002

Closure dates : 24.4.2003 at 17.00 (Brussels local time)

1. In accordance with Decision No 1513/2002/EC of the European Parliament and of the Council, of 27 June 2002, concerning the sixth framework programme of the European Community for research, technological development and demonstration activities contributing to the creation of the European Research Area and to innovation (2002 to 2006)¹, the Council adopted on 30 September 2002 the specific programme for research, technological development and demonstration: “Integrating and strengthening the European Research Area” (2002-2006)² (referred to as “the specific programme”).

In accordance with Article 5(1) of the specific programme, the Commission of the European Communities (referred to as “the Commission”) has adopted on 9 December 2002 a work programme³ (referred to as “the work programme”) setting out in greater detail the objectives and scientific and technological priorities of the specific programme, and the timetable for implementation.

In accordance with Article 9(1) of the Regulation of the European Parliament and of the Council, of 5 November 2002, concerning the rules for the participation of undertakings, research centres and universities in, and for the dissemination of research results for, the implementation of the European Community Sixth Framework Programme (2002 to 2006)⁴ (referred to as “the rules for participation”), proposals for indirect RTD actions should be submitted under the terms of calls for proposals.

2. The present calls for proposals for indirect RTD actions comprise the present general part and the specific conditions that are described in the annexes. These annexes indicate in particular, for each call, the date(s) of closure for the submission of proposals for indirect RTD actions, an indicative date for the completion of the evaluations, the indicative budget, the instruments and the areas concerned, the evaluation criteria for the evaluation of proposals for indirect RTD actions, the minimum number of participants, and any applicable restrictions.

¹ OJ L 232, 29.8.2002, p. 1.

² OJ L 294, 29.10.2002, p. 1.

³ Commission Decision C(2002)4789, unpublished.

⁴ To be published.

3. Natural or legal persons fulfilling the conditions stated in the rules for participation and that do not fall under any of the exclusion cases in the rules for participation or in Article 114(2) of the Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities⁵ (referred to as “the proposers”) are invited to submit to the Commission proposals for indirect RTD actions subject to the conditions in the rules for participation and in the call concerned being fulfilled.

The European Community has adopted an equal opportunities policy and, on this basis, women are particularly encouraged to either submit proposals for indirect RTD actions or participate in the submission of proposals for indirect RTD actions.

4. The Commission makes available to proposers guides for proposers relating to the calls which contain information on the preparation and the submission of a proposal for an indirect RTD action. These guides, as well as the work programme and other information relating to the calls, can be obtained from the Commission via one of the following addresses:

For the priority thematic area of research “Information Society technologies”:

*European Commission
The IST Information Desk
Directorate General INFSO
B-1049 Brussels, Belgium*

Email address: ist@cec.eu.int

Internet address: www.cordis.lu/ist

5. Proposals for indirect RTD actions are submitted preferably in electronic format, either on CD-ROM or on diskette. In any case, proposals for indirect RTD actions may also be prepared and submitted using the forms in the guide for proposers (referred to as on “paper”).

Once the software tool within the electronic proposal submission system (EPSS) is available before the closure date in the relevant call, proposals for indirect RTD actions may be prepared off-line or on-line and submitted on-line⁶. The EPSS software tool will be available via the Cordis website www.cordis.lu.

6. Proposals for indirect RTD actions submitted on CD-ROM, diskette, or paper by post must be received by the Commission at the following address, and labelled as follows:

“FP6 - Research Proposals”
(Call identifier: ...)

⁵ OJ L248, of 16.9.2002, p. 1.

⁶ For information, the software tool for off-line preparation of proposals for indirect RTD actions should be available at the earliest in January 2003. The tool for on-line preparation and submission of proposals for indirect RTD actions should be available at the earliest end-February 2003.

European Commission
B-1049 Brussels

For deliveries by hand or by representatives (including by private courier), the delivery should be to the following address, and labelled as follows:

“FP6 - Research Proposals”
(Call identifier: ...)
European Commission
Rue de Genève, 1
B-1140 Brussels

In both cases, proposals for indirect actions submitted on CD-ROM or on diskette must be accompanied, in the same envelope, by an identical paper version.

Proposals for indirect RTD actions submitted either on CD-ROM or on diskette which are incomplete⁷, illegible⁸ or contain viruses will be excluded if a complete corresponding paper version has not been supplied.

Proposals for indirect RTD actions may be submitted on-line⁹ via the Cordis website www.cordis.lu.

Proposals for indirect RTD actions that are submitted on-line and which are incomplete¹⁰, illegible¹¹ or contain viruses will be excluded.

Proposals for indirect RTD actions that are submitted on paper and which are incomplete¹² will be excluded.

Proposals for indirect RTD actions submitted by email¹³ or by fax will be excluded.

7. Proposals for indirect RTD actions have to reach the Commission at the latest on the closure date and at the time specified in the call concerned. Proposals for indirect RTD actions arriving after this date and time will be excluded.

If foreseen in the relevant call, they will be taken up in the framework of a future evaluation.

8. In the case of successive submissions of a proposal in the same format, the Commission will examine the last version received before the closure date and time specified in the call concerned.

⁷ All proposals for indirect RTD actions must contain two parts: the forms (Part A) and the content (Part B).

⁸ Proposals for indirect RTD actions should be submitted in PDF (“portable document format”, version 3 or higher with embedded fonts) or RTF (“rich text format”).

⁹ See footnote 6.

¹⁰ See footnote 7.

¹¹ See footnote 8.

¹² See footnote 7.

¹³ This does not include proposals for indirect RTD actions that have been submitted on-line.

In the case where the same proposal for an indirect RTD action is submitted on paper and electronic format (CD-ROM, diskette, on-line), the Commission will only examine the text submitted under the electronic formats.

9. In all correspondence relating to the concerned call (eg, when requesting information, or submitting a proposal for an indirect RTD action), proposers are invited to cite the relevant call identifier.

ANNEX

1) Specific Programme: Integrating and Strengthening the European Research Area

2) Activity: Thematic priority area: Information Society Technologies

3) Call title: Call 1 of the IST priority

4) Call identifier: FP6-2002-IST-1

5) Date of publication: 17.12.2002

6) Closure dates : 24.4.2003 at 17.00 (Brussels local time)

7) Total indicative budget: 1070 Meuro

8) Distribution of budget per area: 80% of the budget of this call is pre-distributed on the different areas covered including each of the the Strategic Objectives, FET, Research Networking test beds and general accompanying actions. This provides an indication of the effort that will be devoted to each of these areas. The remaining 20% are not pre-allocated to a specific area. They will be allocated after the call based on the quality of proposals and the relevance of the suggested work. The table in point10 shows the distribution of the pre-allocated budgets per area.

9) Distribution per instrument : The overall indicative budget distribution between instruments is: two-thirds of the budget for the new instruments (NoEs and IPs) and one-third for the traditional instruments.

10) Areas addressed in the Call

The table below indicates the areas open and the distribution of the pre-allocated budget on these areas:

	Instruments	Indicative Pre-allocated Budget¹⁴ MEuro
<u>1-Strategic Objectives</u>		
2.3.1.1 <i>Pushing the limits of CMOS, preparing for post-CMOS</i>	<i>All¹⁵</i>	75
2.3.1.2 <i>Micro and nano systems</i>	<i>All</i>	85
2.3.1.3 <i>Broadband for all</i>	<i>All</i>	60
2.3.1.4 <i>Mobile and wireless systems beyond 3G</i>	<i>All</i>	90
2.3.1.5 <i>Towards a global dependability and security framework</i>	<i>All</i>	55
2.3.1.6 <i>Multimodal Interfaces</i>	<i>All</i>	65
2.3.1.7 <i>Semantic-based knowledge systems</i>	<i>All</i>	55
2.3.1.8 <i>Networked audio-visual systems and home platforms</i>	<i>All</i>	60
2.3.1.9 <i>Networked businesses and governments</i>	<i>All</i>	75
2.3.1.10 <i>eSafety of road and air transport</i>	<i>All</i>	65
2.3.1.11 <i>eHealth</i>	<i>All</i>	70
2.3.1.12 <i>Technology-enhanced learning and access to cultural heritage</i>	<i>All</i>	65
<u>2- Future and emerging Technologies</u>		
– 2.3.4.2 <i>Proactive initiatives:</i> ¹⁶ (i) <i>Beyond robotics</i> (ii) <i>Complex systems research</i> (iii) <i>The Disappearing Computer</i>	<i>IPs and NoEs</i>	40
<u>3- General Accompanying</u>		
2.3.6 <i>General Accompanying actions</i>	<i>SSAs & CAs</i>	8

11) Minimum number of participants¹⁷:

<u>Instrument</u>	<u>Minimum number</u>
IPs, NoEs, STREPs and CAs	3 independent legal entities from 3 different MS or AS, with at least 2 MS or ACC
Specific support actions	1 legal entity

12) Restriction to participation: None.

13) Consortium agreement: Participants in RTD actions resulting from this call are required to conclude a consortium agreement.

14) Evaluation procedure:

¹⁴ The amounts correspond to the 80% of the budget which is pre-allocated.

¹⁵ “All instruments” correspond to IPs, NoEs, STREPs, CAs and SSAs

¹⁶ Pre-proposals to FET Proactive may be submitted by e-mail or fax at any time up to 28/2/ 2003

¹⁷ MS = Member States of the EU ; AS (incl. ACC) = Associated States ; ACC : Associated candidate countries. Any legal entity established in a Member State or Associated State and which is made up of the requested number of participant may be the sole participant in an indirect action.

- the evaluation shall follow a one stage procedure
- proposals will not be evaluated anonymously

15) Evaluation criteria:

- See the section on evaluation criteria in paragraph 2.5 of the Work Programme.

16) Indicative evaluation and selection delays:

- evaluation results: 2 months after the relevant closure date