

**Calls for proposals for indirect RTD actions under the specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area'**

**Activity: thematic priority area: information society technologies**

**Call identifier: FP6-2004-IST-3**

**Call identifier: FP6-2004-IST-FETPI**

(2004/C 158/09)

1. In accordance with Decision No 1513/2002/EC of the European Parliament and of the Council, of 27 June 2002, concerning the sixth framework programme of the European Community for research, technological development and demonstration activities contributing to the creation of the European Research Area and to innovation (2002 to 2006) <sup>(1)</sup>, the Council adopted on 30 September 2002 the specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) <sup>(2)</sup> (referred to as the specific programme).

In accordance with Article 5(1) of the specific programme, the Commission of the European Communities (referred to as the Commission) has adopted on 9 December 2002 a work programme <sup>(3)</sup> (referred to as the work programme) setting out in greater detail the objectives and scientific and technological priorities of the specific programme, and the timetable for implementation.

In accordance with Article 9(1) of the Regulation of the European Parliament and of the Council, of 16 December 2002, concerning the rules for the participation of undertakings, research centres and universities in, and for the dissemination of research results for, the implementation of the European Community Sixth Framework Programme (2002 to 2006) <sup>(4)</sup> (referred to as the rules for participation), proposals for indirect RTD actions should be submitted under the terms of calls for proposals.

2. The present calls for proposals for indirect RTD actions (referred to as the calls) comprise the present general part and the specific conditions that are described in the Annexes. The Annexes indicate in particular, the dates of closure for the submission of proposals for indirect RTD actions, an indicative date for the completion of the evaluations, the indicative budget, the instruments and the areas concerned, the evaluation criteria for the evaluation of proposals for indirect RTD actions, the minimum number of participants, and any applicable restrictions.

<sup>(1)</sup> OJ L 232, 29.8.2002, p. 1.

<sup>(2)</sup> OJ L 294, 29.10.2002, p. 1.

<sup>(3)</sup> Commission Decision C(2002)4789, as modified by C(2003)577, C(2003)955, C(2003)1952, C(2003)3543, C(2003)3555, C(2003)4609, C(2003)5183, C(2004)433, and C(2004)2002, all decisions unpublished.

<sup>(4)</sup> OJ L 355, 30.12.2002, p. 23.

3. Natural or legal persons fulfilling the conditions stated in the rules for participation and that do not fall under any of the exclusion cases in the rules for participation or in Article 114(2) of the Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities <sup>(5)</sup> (referred to as the proposers) are invited to submit to the Commission proposals for indirect RTD actions subject to the conditions in the rules for participation and in the call concerned being fulfilled.

The conditions of participation of the proposers will be verified within the framework of the negotiation of the indirect RTD action. Before that however, proposers will have signed a declaration stating that they do not fall under any of the cases given by Article 93(1) of the Financial Regulation. They will also have given the Commission the information listed in Article 173(2) of the Commission Regulation (EC, Euratom) No 2342/2002, of 23 December 2002, laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No1605/2002 on the Financial Regulation applicable to the general budget of the European Communities <sup>(6)</sup>.

The European Community has adopted an equal opportunities policy and, on this basis, women are particularly encouraged to either submit proposals for indirect RTD actions or participate in the submission of proposals for indirect RTD actions.

4. The Commission makes available to proposers guides for proposers relating to the calls which contain information on the preparation and the submission of a proposal for an indirect RTD action. The Commission also makes available Guidelines on Proposal Evaluation and Selection Procedures <sup>(7)</sup>. These guides and guidelines, as well as the work programme and other information relating to the calls, can be obtained from the Commission via the following address:

European Commission  
The IST Information Desk  
Directorate General INFSO  
BU31 1/19  
B-1049 Brussels  
E-mail address: [ist@cec.eu.int](mailto:ist@cec.eu.int)  
Internet address: [www.cordis.lu/ist](http://www.cordis.lu/ist)

<sup>(5)</sup> OJ L 248, 16.9.2002, p. 1.

<sup>(6)</sup> OJ L 357, 31.12.2002, p. 1.

<sup>(7)</sup> C(2003)883 of 27 March 2003, as last modified by C(2004)1855 of 18 May 2004.

5. Proposals for indirect RTD actions are invited to be submitted only as an electronic proposal via the web-based Electronic Proposal Submission System (EPSS) <sup>(1)</sup>. In exceptional cases, however, a coordinator may request permission from the Commission to submit on paper in advance of a call deadline. This should be done by writing to one of the following addresses:

European Commission  
The IST Information Desk  
Directorate General INFSO  
BU31 1/19  
B-1049 Brussels  
E-mail address: ist@cec.eu.int

The request must be accompanied by an explanation of why the exception is being sought. Proposers wishing to use paper submission take the responsibility for ensuring that such requests for exemption and the associated procedures are completed in time for them to meet the call deadline.

All proposals for indirect RTD actions must contain two parts: the forms (Part A) and the content (Part B).

Proposals for indirect RTD actions may be prepared off-line or on-line and submitted on-line. Part B of proposals for indirect RTD actions can only be submitted in PDF (portable document format, compatible with Adobe Version 3 or higher with embedded fonts). Compressed (zipped) files will be excluded.

The EPSS software tool (for off-line or on-line usage) is available via the Cordis website [www.cordis.lu](http://www.cordis.lu).

Proposals for indirect RTD actions that are submitted on-line and which are incomplete, illegible or contain viruses will be excluded.

Versions of proposals for indirect RTD actions submitted on a removable electronic storage medium (eg, CD-ROM, diskette), by email or by fax will be excluded.

Any proposal for indirect RTD actions that has been allowed to be submitted on paper and which is incomplete will be excluded.

Further details on the various proposal submission procedures are given in Annex J of the Guidelines on Proposal Evaluation and Selection Procedures.

6. Proposals for indirect RTD actions have to reach the Commission at the latest on the closure date and at the time specified in the call concerned. Proposals for indirect RTD actions arriving after this date and time will be excluded.

Proposals for indirect RTD actions not satisfying the conditions relating to the minimum number of participants indicated in the call concerned will be excluded.

This also applies regarding any additional eligibility criteria given in the work programme.

7. In the case of successive submissions of the same proposal for an indirect RTD action, the Commission will examine the last version received before the closure date and time specified in the call concerned.

8. If foreseen in the relevant call, proposals for indirect RTD actions could be evaluated in the framework of a future evaluation.

9. In all correspondence relating to a call (e.g. when requesting information, or submitting a proposal for an indirect RTD action), proposers are invited to cite the relevant call identifier.

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<sup>(1)</sup> The EPSS is a tool to assist proposers to develop and submit their proposals electronically.

## ANNEX

1. **Specific programme:** Integrating and Strengthening the European Research Area.
2. **Thematic priority/domain:** Information Society Technologies (IST).
3. **Call title:** Call 3 of the IST priority.
4. **Call identifier:** FP6-2004-IST-3.
5. **Date of publication:** 15 June 2004.
6. **Closure date:** 22 September 2004 at 17.00 (Brussels local time).
7. **Total indicative budget:** 28 million Euro of which:
  - EUR 10 million for objective 2.3.6.1,
  - EUR 8 million for objective 2.3.6.2,
  - EUR 10 million for objective 2.3.6.3.

8. **Areas and instruments:**

Proposals are invited to address the following objectives:

- 2.3.6.1: to stimulate, encourage and facilitate the participation of organisations from the New Member States (NMS) and the Associated Candidate Countries (ACC) in the activities of IST,
- 2.3.6.2: to prepare for future international cooperation in IST,
- 2.3.6.3: to progress towards the achievement of the objectives of a European Research Area in a given IST field. (See point 10 below for restriction to participation for Coordination Actions).

The objectives are open for actions using the following instruments:

Objective	Instrument(s)
2.3.6.1	Specific support actions
2.3.6.2 and 2.3.6.3	Coordination actions, specific support actions

9. **Minimum number of participants<sup>(1)</sup>:**

Objective	Minimum number
All objectives	For coordination actions: Three independent legal entities from three different MS or AS, with at least two MS or ACC. For specific support actions: One legal entity.

<sup>(1)</sup> MS = Member States of the EU; AS (incl. ACC) = Associated States; ACC: Associated candidate countries. Any legal entity established in a Member State or Associated State and which is made up of the requested number of participant may be the sole participant in an indirect action.

**10. Restriction to participation:**

Objective	Restriction
2.3.6.1 and 2.3.6.2	No restriction.
2.3.6.3	<p>For coordination actions:</p> <p>The minimum number of participants must include only: (i) public bodies responsible for financing or managing research activities carried out at national or regional level, (ii) other national or regional organisations that finance or manage such research activities, or (iii) bodies operating at European level that include as part of their mission the pan-European co-ordination of nationally-funded research.</p> <p>The following legal entities may participate without restriction in addition to the minimum number of participants: (a) charities or other private organisations, which also manage research programmes that are strategically planned and executed at national or regional level, or (b) key participants in national or regional research activities who bring technical expertise in support of activities such as roadmapping or development of long-term shared visions.</p> <p>For specific support actions: No restriction.</p>

**11. Consortium agreement:**

Participants in RTD actions resulting from this call are required to conclude a consortium agreement.

**12. Evaluation procedure:**

The evaluation shall follow a one-stage procedure. Proposals will not be evaluated anonymously.

**13. Evaluation criteria:**

See section 2.5 of the IST 2003-04 Work Programme <sup>(1)</sup>.

**14. Indicative evaluation and selection delays:**

Evaluation results are estimated to be available within two months after the closure date.

### Future and emerging technologies (FET) – proactive initiatives

1. **Specific programme:** Integrating and Strengthening the European Research Area.
2. **Thematic priority/domain:** Information Society Technologies (IST).
3. **Call title:** Future and Emerging Technologies - Proactive Initiatives.
4. **Call identifier:** FP6-2004-IST-FETPL.
5. **Date of publication:** 15 June 2004
6. **Closure date(s)** <sup>(2)</sup>: 22 September 2004 at 17.00 (Brussels local time)
7. **Total indicative budget:** EUR 80 million

<sup>(1)</sup> See Information Society Technologies on [http://www.cordis.lu/fp6/sp1\\_wp.htm](http://www.cordis.lu/fp6/sp1_wp.htm).

<sup>(2)</sup> Pre-proposals may be submitted by e-mail or fax at any time up to 1 July 2004.

**8. Areas and instruments:**

Areas addressed in the call are:

- 2.3.4.2.(iv): Quantum information processing and communications,
- 2.3.4.2.(v): Global computing,
- 2.3.4.2.(vi): Emerging nanoelectronics,
- 2.3.4.2.(vii): Bio-inspired intelligent information systems.

The areas are open for actions using the following instruments:

Area	Instrument(s)
2.3.4.2.(iv)	Integrated projects
2.3.4.2.(v), 2.3.4.2.(vi), 2.3.4.2.(vii)	Integrated projects, networks of excellence <sup>(1)</sup>

<sup>(1)</sup> See section 2.3.4.2. of the IST 2003-04 Work Programme.

**9. Minimum number of participants <sup>(1)</sup>:**

Area	Minimum number
All areas	Three independent legal entities from three different MS or AS, with at least two MS or ACC

**10. Restriction to participation:**

None.

**11. Consortium agreement:**

Participants in RTD actions resulting from this call are required to conclude a consortium agreement.

**12. Evaluation procedure:**

The evaluation shall follow a one-stage procedure. Proposals will not be evaluated anonymously.

**13. Evaluation criteria:**

See section 2.5 of the IST 2003-04 Work Programme <sup>(2)</sup>.

**14. Indicative evaluation and selection delays:**

Evaluation results are estimated to be available within two months after the closure date.

<sup>(1)</sup> MS = Member States of the EU; AS (incl. ACC) = Associated States; ACC: Associated candidate countries. Any legal entity established in a Member State or Associated State and which is made up of the requested number of participant may be the sole participant in an indirect action.

<sup>(2)</sup> See Information Society Technologies on [http://www.cordis.lu/fp6/sp1\\_wp.htm](http://www.cordis.lu/fp6/sp1_wp.htm).